

Central Newton Fund Grant Additional Conditions

GRANT ADDITIONAL CONDITIONS: NEWTON FUND

This project has been recommended for funding under the Newton Fund xxx call. This project is funded by the Newton Fund Programme, and this grant is awarded by insert Research Council on behalf of UK Research and Innovation.

GAC NF 1: ODA compliance

The Newton Fund is part of the UK's Official Development Assistance (ODA). Its aim is to develop science and innovation partnerships that promote the economic development and welfare of developing countries. The Research Organisation must ensure the research that is undertaken as part of this grant is compliant with ODA rules and regulations as set out by the OECD. In the event that the research is deemed to no longer comply with ODA rules and regulations We reserve the right to terminate the grant.

Further information on ODA guidance can be found at:

<https://www.ukri.org/files/legacy/international/gcrfodaguidance-pdf/>

In addition to the requirements set out in RGC 7.1, any proposed changes to the award that could affect the ODA compliance of the research must be reported to insert Research Council at the earliest opportunity via the Grant Maintenance facility in Je-S. Insert Research Council will then consider whether such changes are permissible and inform the Research Organisation of their decision.

Changes that should be reported include but are not limited to any proposed changes to the Principal Investigator, Co-Investigator, project partners, field study sites, country of focus and objectives.

GAC NF 2: Acknowledgements and reporting

In addition to the provisions in RGC12.4, the investigators must acknowledge the Newton Fund and the insert Research Council/ UK Research and Innovation in any publications, web pages or events associated with this grant.

Investigators must assist the insert Research Council/ UK Research and Innovation with any additional reporting requirements requested by the Department for Business, Energy and Industrial Strategy.

GAC NF 3: Starting Procedures

This grant has a FIXED start date of XXX. The start of the grant may NOT be delayed beyond or precede this date.

Please note that due to the fixed start date, the normal three month start period rules outlined in UKRI Terms and Conditions RGC5 do not apply to this project.

GAC NF 4: Ethical requirements

Research must meet the UKRI Research Governance requirements outlined in RGC 3. For clinical studies involving human participants and/or patients, appropriate consent must be obtained. Additionally, any research undertaken outside the UK must have both UK and respective country ethical approvals.

When collaborating with other laboratories, or where animal facilities are provided by third parties, researchers and the local ethics committee in the UK should satisfy themselves that

welfare standards consistent with the principles of UK legislation (e.g. the ASPA) and set out in this guidance are applied and maintained.

Insert link to specific RC guidance/requirements

The Principal Investigator/ Research Organisation must be prepared to furnish insert Research Council/UK Research and Innovation with a copy of the ethical approval, and any correspondence with the committees, if requested by the Council. The principal investigator must notify the insert Research Council/ UK Research and Innovation if a regulator or a research ethics committee requires amendments that substantially affect the research question, methodology or costs to the extent that the project is no longer the same as that approved for funding by the insert Research Council/ UK Research and Innovation.

GAC NF 5: Government support

This award is dependent on continuing Government commitment for this initiative. In the event that this support is withdrawn, insert Research Council reserve the right to terminate the award.

GAC NF 6: Requests for extensions to awards

Due to financial restraints of the Newton Fund Programme, grant extensions will only be considered under exceptional circumstances (in line with the Equality Act 2010) and will require insert Research Council agreement on a case-by-case basis. The Research Organisation remains responsible for compliance with the terms of the Equality Act 2010 including any subsequent amendments introduced while work is in progress; and for ensuring that the expectations set out in RGC 3.4 are met.

GAC NF 7: Collaboration agreement

In accordance with RGC 12, a Collaborative Agreement is required for this project. This must be in place within six months of the start of the project.

As the grant is associated with more than one research organisation the basis of collaboration between the organisations, including the allocation of resources throughout the project and ownership of intellectual property and rights to exploitation, is required to be set out in the formal collaboration agreement. It is the responsibility of the lead Research Organisation to put such an agreement in place within six months of the start of the project. The terms of collaboration agreements must not conflict with the Research Councils' terms and conditions.

GAC NF 8: Change in Principal Investigator

This award has been made on the basis of the named individual's suitability to undertake the research and that they have the expertise and experience to lead the project to a successful conclusion, in accordance with its research objectives. This award is made on condition that any request to change the principal investigator/ Co-Investigator will require insert Research Council's prior approval. Requests for a change in principal investigator are to be submitted via the Grant Maintenance facility in Je-S. Insert Research Council will then consider and inform the Research Organisation of their decision.

Document History and Version Control

Version	Date	Change
1	2016	N/A

2	2016	<p>Removed MRC ethics from key</p> <p>Changed ethical approval section refers to councils generally instead of just MRC</p> <p>Changed GAC NF 7 from subcontract to Transfer of funds to UK and overseas organisations</p> <p>Added GAC NF 8: Collaboration agreement</p> <p>Added GAC NF 9: Change in Principal Investigator</p>
3	2018	<p>Changed RCUK to UKRI</p> <p>Added 'Optional – Research Councils discretion whether to include' in key</p> <p>Added reference to RGC1 to GAC NF 7: Transfer of funds to UK and overseas organisations</p> <p>GAC NF 8: Collaboration agreement highlighted as optional – Research Councils discretion whether to include</p> <p>Added Co-Investigator to GAC NF 9: Change in Principal Investigator</p>
4	2019	<p>Added additional text and link to ODA guidance to GAC NF 1: ODA compliance</p> <p>Changed RCUK to UKRI as not all references to RCUK were changed in previous version</p>
5	April 2020	<p>Changed wording from Investigator to Research Organisation in GAC NF 1: ODA compliance</p> <p>Changed reference to RGC 5 to RGC 7.1 in GAC NF 1: ODA compliance</p> <p>Changed Research Council to we in GAC NF 1: ODA compliance</p> <p>Changed wording from guidelines to requirements in GAC NF 4: Ethical requirements</p> <p>Removed reference to Statement of expectation for equality and diversity for GAC NF 6: Requests for extensions to awards</p> <p>Added reference to RGC 3.4 Equality, Diversity and Inclusion for GAC NF 6: Requests for extensions to awards</p> <p>Removed GAC NF 7: Transfer of funds to UK and overseas organisations as it's covered by the standard T&Cs, namely (RGC 2.1, RGC 2.4 and RGC 2.6)</p>